

**Interactive Brokers (India) Private Limited**

502/A, Times Square, Andheri Kurla Road, Andheri (East) Mumbai 400059.

Tel: +91-22-61289888 Fax: +91-22-61289898

 Website: [www.interactivebrokers.co.in](http://www.interactivebrokers.co.in)

SEBI Registration No: INZ000217730 NSDL: IN-DP-NSDL-301-2008 DP ID – IN 303567

DP ID:	IN303567
Trading a/c no:	U code
Demat a/c no:	To be provided by IB after demat account opening (as per the Client Master Report)
Date:	

**NOMINATION OPTION ( Form 10)**
**Nomination Details**

	I/We wish to make a nomination. [As per details given below] I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death. <b>Nomination can be made up to three nominees in the account.</b>
	I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

	<b>Details of 1st Nominee</b>	<b>Details of 2nd Nominee</b>	<b>Details of 3rd Nominee</b>	
1.	Entity ID Name of the nominee (s) (Mr./Ms.)			
2.	Share of each Nominee If not equally please specify %	Nominee share equally 100 % % % %		
3.	Any odd lot after the division shall be transferred to the first nominee mentioned in the form			
4.	Relationship with applicant			
5.	Date of Birth			
6.	Address of Nominee(s)			
7.	State City – Postal code Mobile/Telephone No Email ID of nominee(s)			
	Nominee ID details	[Please tick any one of following and provide details of same]		
	Photograph and Signature	Photograph to be affixed and signature to be taken across the photograph	Photograph to be affixed and signature to be taken across the photograph	Photograph to be affixed and signature to be taken across the photograph
		X Signature	X Signature	X Signature
	PAN			
	Aadhaar			
	Saving Bank A/c No			
	Proof of Identity			
	Demat Account ID	DP ID	DP ID	DP ID
		Client ID	Client ID	Client ID

Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:

8.	Date of birth {in case of the minor nominee(s)}			
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
	Entity ID			
10.	Address of Guardian(s)			
	State – City – Pin code			
11.	Mobile/Telephone No			
12.	Email ID of Guardian(s)			

		<b>Details of 1st Nominee</b>	<b>Details of 2nd Nominee</b>	<b>Details of 3rd Nominee</b>
13.	Relationship of Guardian with nominee			
	Guardian ID details	[Please tick any one of following and provide details of same]		
	Photograph and Signature	Photograph to be affixed and signature to be taken across the photograph	Photograph to be affixed and signature to be taken across the photograph	Photograph to be affixed and signature to be taken across the photograph
		X Signature	X Signature	X Signature
	PAN Number			
	Aadhaar			
	Saving Bank A/c No			
	Copy of any Proof of Identity			
	Demat Account ID	DP ID	DP ID	DP ID
		Client ID	Client ID	Client ID
	I/We wish to make a nomination. [As per details given above]			
	I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.			
		<b>Name(s) of holder(s)</b>	<b>Signature(s) of holder</b>	
	Sole/ First Holder (Mr./Ms.)		Sign here	
	Second Holder (Mr./Ms.)	NA		
	Third Holder (Mr./Ms.)	NA		
<b>Notes:</b>	<p>1. All communication shall be sent to the address of the Sole/First holder only.</p> <p>2. Thumb impressions and signatures other than English or Hindi or any of the other languages not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.</p> <p>3. Instructions related to nomination, are as below:</p> <ul style="list-style-type: none"> <li>i) The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.</li> <li>ii) A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.</li> <li>iii) The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.</li> <li>iv) Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.</li> <li>v) Transfer of securities in favour of a Nominee shall be a valid discharge by the depository and the Participant against the legal heir.</li> <li>vi) The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.</li> <li>vii) On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.</li> <li>viii) Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.</li> <li>ix) On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.</li> <li>x) Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.</li> <li>xi) Savings bank account details shall only be considered if the account is maintained with the same participant.</li> <li>xii) DP ID and client ID shall be provided where demat details is required to be provided.</li> <li>xiii) Any odd lot after division shall be transferred to the first nominee mentioned in the Form.</li> </ul> <p>4. For receiving Statement of Account in electronic form:</p> <ul style="list-style-type: none"> <li>i. Client must ensure the confidentiality of the password of the email account.</li> <li>ii. Client must promptly inform the Participant if the email address has changed.</li> <li>iii. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.</li> </ul> <p>5. Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature</p> <p>6. This nomination shall supersede any prior nomination made by the account holder(s), if any.</p> <p>7. The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)</p>			

 Sign here